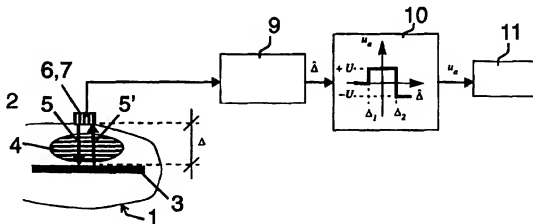




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : A61F 2/68 // 4/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 97/15249 (43) International Publication Date: 1 May 1997 (01.05.97)</p>
<p>(21) International Application Number: PCT/NO96/00246 (22) International Filing Date: 22 October 1996 (22.10.96) (30) Priority Data: 954221 23 October 1995 (23.10.95) NO (71) Applicant (for all designated States except US): CYPROMED A.S [NO/NO]; Vikaveien 17, N-2312 Ottestad (NO). (72) Inventors; and (75) Inventors/Applicants (for US only): STAVDAHL, Øyvind [NO/NO]; Hallfred Høyems Vei 1, N-7014 Trondheim (NO). GRÖNNINGSÆTER, Aage [NO/NO]; Kroppanmarka 112, N-7039 Trondheim (NO). (74) Agent: CURO A.S; P.O. Box 38, N-7094 Lundamo (NO).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. In English translation (filed in Norwegian).</p>

(54) Title: METHOD FOR CONTROL OF PROSTHESES AND OTHER AID MEANS



(57) Abstract

Described is a method for controlling prostheses and other assistive devices using tissue whose state is influenced by the central nervous system of a user. The state of the tissue is sensed, and information from this sensing is fed into a unit (9, 10) that estimates the motor intention of the user and controls the prosthesis according to this estimate. The method comprises transmission of ultrasound signals into the tissue by use of an ultrasound transducer (6) and then reception of the ultrasound signals modulated by the tissue by use of an ultrasound transducer (7). Based on these received ultrasound signals, the motor intention of the user is estimated, and based on this mentioned estimate a number of prosthesis states are controlled.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

Method for control of prostheses and other aid means

The present invention describes a method for control of prostheses and other assistive devices, according to the introductory part of claim 1.

- 5 The term "prosthesis" primarily refers to an artificial hand, arm, foot, leg or the like, with one or more motorized joints. The term can be generalized so that it refers also to other mechanical devices, utilized by a handicapped person to compensate for a missing or defective limb or part of a limb, in order to replace at least parts of the missing limb's function. Examples of these are active or actively lockable orthoses, wheelchairs and other
- 10 assistive devices. Furthermore, the term "prosthesis" can refer to all controllable technical systems utilized by handicapped and/or healthy persons, such as robots for telemanipulation, miniature manipulators for endoscopic operations, as well as machinery, tools and vehicles in general; program systems, computers and the like.

- The term "prosthetic state" refers to a mechanical or geometrical state in the prosthesis,
- 15 such as an angular or linear position, angular or linear velocity, torque/force, etc., or a combination of such figures.

- In the following, the term "muscle" refers to a muscle in the physiological sense, as well as to other tissue and/or bones/joints, which can be deformed or moved under direct or indirect control by the person's central nervous system, or a combination of these. "Muscle contraction"
- 20 "refers to the resulting mechanical or geometrical changes in a muscle. The term "motor intention" refers to the specific function that the user of the present invention desires to activate. In the case of a prosthesis in the usual sense, "motor intention" refers to the motor movement or movement pattern that the user wants the prosthesis to perform.

- Prosthesis control based on myoelectric signals is known. One example of this can be
- 25 found in EP patent publication 0 421 780. US patent publication 4 571 750 shows exploitation of myoacoustic signals for the same purpose. These known methods use, among other things, the amplitude of the detected signal as a basis for controlling the prosthesis. Variations in skin moisture as well as electric and acoustic noise from the environment frequently cause undesired variations in the signal amplitude, and thereby directly influence the
- 30 control of the prosthesis. Furthermore, myoelectric and myoacoustic signals measured on the skin surface express a weighted sum of the activities of all muscles in the area where the detecting transducer is placed, but it is difficult to discern between the contributions of the individual muscles.

It is therefore an object of the present invention to provide a method for control of prostheses and other assistive devices, which has a greater tolerance with regards to disturbances than is the case in previously known solutions, so the person's motor intention can be estimated more easily. Moreover, it is an object of the present invention to provide a method and device for the control of prostheses and the like, which allows for identification of muscle contraction in multiple subcutaneous muscles simultaneously, so that the person's motor intention can be estimated in greater detail and in a greater number of degrees of freedom.

The object of the invention is achieved by a method described by the characterizing part of
 10 Claim 1. Additional characteristics are described in the related dependent claims

In the following, the invention will be explained in further detail by way of examples of embodiments and with reference to the accompanying drawings, where

Fig. 1a shows an axial cross section of a body part with a muscle in a contracted state,

Fig. 1b shows an axial cross section of a body part with a muscle in a relaxed state,

15 Fig. 2 shows a cross section of a body part with different tissue structures, where dotted lines illustrate how geometric information can be collected from a number of muscles by use of ultrasound measurements in a number of directions, according to the present invention,

Fig. 3 shows a cross section of a body part with different tissue structures, where external transducers are arranged according to the present invention,

20 Fig. 4 shows a cross section of a body part with different tissue structures, where external and implanted transducers and reflectors are arranged in accordance with the present invention, and

Fig. 5 shows a principle sketch of an on/off-control system according to the present invention.

25

Fig. 1a illustrates a representation of a body part 1 with a skin surface 2, a bone 3 and a muscle 4. The muscle 4 is shown in a contracted (shortened) state, and the distance from the skin surface 2 to the bone 3 is denoted Δ_c . Fig. 1b shows the body part 1 with the muscle 4 in a relaxed state, with the distance from the skin surface 2 to the bone 3 denoted Δ_r . The
 30 muscle's 4 geometry is seen to change upon contraction, so that the distance Δ_c from the skin surface 2 to the bone 3 during muscle contraction is greater than the distance Δ_r during muscle relaxation. The degree of muscle contraction in the case illustrated in Fig. 1a is given by the equation $(\Delta_r - \Delta_c)$.

According to the invention, measurements of the relaxed and contracted states of the muscles are made by transmitting an ultrasound pulse into the tissue and then receiving the echo from the tissue structures. In fact the different tissue structures are arranged in several layers. This is illustrated in Fig. 2. Here, a number of muscles 4 are distributed in the body part 1 around the bone 3. To measure the state of all muscles 4, it is sufficient to make ultrasound shots 5 in a few directions; in the present example ultrasound shots 5 are made in four directions in order to observe seven muscles 4. When performing one-dimensional data collection (i.e. using only one beam), the only component of the muscle deformation that can be observed is that coinciding with the direction of the beam. By performing two-dimensional data collection i.e. in a plane, the muscle deformation components in this plane (two dimensions) can be observed. By performing three-dimensional data collection i.e. in a volume, the muscle deformation components in all three dimensions can be observed.

The practical implementation of the present invention can be achieved by the use of ultrasound transducers which are in acoustic contact with the skin surface, or alternatively implanted into the body. Fig. 3 shows three possible implementations of the invention, where a combined transmitting/receiving transducer 6,7 as well as two transmitting transducers 6', 6" and two receiving transducers 7', 7" are placed on the body part 1, in contact with the skin surface 2. Ultrasound pulses 5 are transmitted into the body part 1 by the combined transmitting/receiving transducer 6,7, and echo from subcutaneous tissue structures are received by the same transducer 6,7. In Fig. 3 only the echo from the bone 3 is illustrated, while echo from other tissue structures will also provide information about muscle contractions in the relevant region. The transmitting transducer 6' transmits ultrasound pulses towards the bone 3, and the echo 5' is received by the receiving transducer 7'. Between the transducers 6" and 7", ultrasound pulses 5" are being sent in a straight line from the transmitting to the receiving transducer.

Fig. 4 shows three further examples of methods of ultrasound measurements where a transducer or a passive reflector is implanted into the body part 1. The combined transmitting/receiving transducer 6,7 is placed in acoustic contact with the skin surface 2, transmitting ultrasound pulses 5 into the body part 1. The same transducer 6, 7 then receives the echo from an implanted reflector 8. The implanted transmitting transducer 6' transmits ultrasound pulses 5' that are being received by the receiving transducer 7' at the skin surface 2. The implanted combined transmitting/receiving transducer 6", 7" transmits ultrasound pulses 5" and receives echoes from the nearby tissue structures. In Fig. 4, only the echo from the

skin surface 2 is illustrated, while echo from other tissue structures will also provide information about muscle contractions in the relevant region.

Different analysis methods can be used for estimating the relevant data from the ultrasound signals received by the receiving transducers 7, 7' and 7". Initially one performs a global and/or one or more local analyses of the reflected or transmitted signal from muscles and other tissue structures. This analysis is then utilized in order to estimate the motor intention. The analysis can be based on cross correlation between two or more ultrasound measurements, the individual measurements being distributed in time. The cross correlation analysis can be performed in one, two or three dimensions in order to measure muscle contractions in a corresponding number of dimensions. Alternatively, the analysis can be based on "optical flow" analysis, where two or more temporally distributed ultrasound measurements are used to estimate the velocity field of the tissue in one, two or three dimensions. The estimated velocity field can be integrated to yield estimates of the tissue's rotation, translation and deformation in a corresponding number of dimensions. Furthermore, one or more muscle contractions can be classified and/or quantified by use of pattern recognition techniques, using figures calculated by the above mentioned analysis methods as input data to a pattern recognition unit.

The analysis can be based on measurements of tissue translation in one or more depths by integrating the phase change of the received signal (velocity measurement). Alternatively, the analysis can be based on measurement of the "time-of-flight" of the ultrasound pulse from the transmitting transducer 6, 6' to the receiving transducer 7, 7', 7".

In order to obtain more robust estimates of the user's motor intention, ultrasound measurements can be combined with measurements of other observable physiological signals and figures e.g. myoelectric signals (EMG) and/or nerve electric signals (ENG).

The method corresponding with the present invention can also be combined with the user's interactions with mechanical, electrical and/or electromechanical input apparatus e.g. a harness with straps that measure shoulder movement, with switches or force sensors.

Estimation of muscle contraction can be performed statically or dynamically. Static estimation uses one or more of the above mentioned methods. Dynamic estimation can be conducted by use of a dynamic state estimator based on a mathematical model of the muscles' dynamic behaviour, where estimates from one or more of the above mentioned methods are used as input signals to the estimator.

As already mentioned, the transducers can be placed in a number of different ways. For example, they can be mounted in the prosthetic socket in such a way that they are in acoustic contact with the skin surface when the prosthesis is fitted on the body part. Gel, a special silicone pad or the like might be necessary to ensure good acoustic contact. Furthermore,

5 the transducers can be mounted in a separate socket e.g. a "bracelet", that can be fitted on the body part without any rigid mechanical connection to the prosthetic socket, so that the force by which the transducer is pressed against the skin is independent of the forces acting between the prosthetic socket and the body part. The transducers can also be implanted into the body or have passive ultrasound reflectors implanted into the tissue to use as reference

10 points in pulse-echo measurements.

More transducers can be used to obtain redundancy and hence quality improvements, allowing for automatic detection and correction of the transducer positions relative to the tissue, giving independent control of multiple prosthesis states, etc. An array probe consisting of a number of transducer elements can be used to send and measure in multiple

15 directions or planes by appropriately delaying the signals associated with the individual array elements.

Fig. 5 shows the principal structure of a prosthesis control system for on/off control of a single prosthetic state. An ultrasound transducer 6, 7, acting both as a transmitter and a receiver, is placed on the skin surface 2 of a body part 1, with good acoustic contact, and such

20 that a muscle 4 is located between the transducer and a bone 3. In this way both the transmitted ultrasound pulse 5 and the reflected ultrasound pulse 5' pass through the muscle 4. The signal from the transducer is fed into a unit 9 that performs signal processing and estimation. The unit 9 calculates, based on the observed signal, an estimate of the distance between the skin surface 2 and the bone 3. A signal from the unit 9 is fed into a unit 10 that

25 controls the voltage to the prosthesis motor 11, according to the following scheme:

$$u_a = \begin{cases} 0V & \text{when } (\hat{\Delta} < \Delta_1) \\ U & \text{when } (\Delta_1 < \hat{\Delta} < \Delta_2) \\ -U & \text{when } (\hat{\Delta} > \Delta_2) \end{cases}$$

If the prosthesis motor is a permanent magnet DC motor, the final angular velocity of the relevant joint function will be proportional to the voltage applied to the motor. The system depicted in Fig. 5 thus will make the relevant prosthesis joint stop if the muscle 4 is relaxed

or only lightly contracted ($\hat{\Delta} < \Delta_1$). A moderate contraction ($\Delta_1 < \hat{\Delta} < \Delta_2$) will cause a constant velocity in one direction, while a strong contraction ($\hat{\Delta} > \Delta_2$) will cause a constant velocity in the opposite direction.

The unit 10 can be replaced by a continuous function. Alternatively it can include one or
5 more feedback paths from prosthesis states, allowing for a more advanced control scheme.

Claims:

1. A device for control of prostheses and other assistive devices, by use of tissue whose state is influenced by the central nervous system of a user, comprising at least one transducer (6, 7), arranged to sense the state of the tissue, this information being fed into a unit (9, 10) that performs signal processing and estimation of the user's motor intention, whereby the device is arranged to control the prosthesis in correspondence with this estimate, **characterized** in that
- the at least one ultrasound transducer (6, 7) is arranged to send ultrasound signals into the tissue,
- the at least one ultrasound transducer (6, 7) is arranged to receive the ultrasound signals modulated by the tissue, and
- the unit (9, 10) for signal processing and estimation is connected to the at least one ultrasound transducer (6, 7), and estimates the user's motor intention based on said received ultrasound signals.
2. The device in accordance with claim 1, **characterized** in that one and the same ultrasound transducer (6, 7) is arranged for both transmission and reception of ultrasound signals.
3. The device in accordance with claim 1, **characterized** in that a first ultrasound transducer (6) is arranged for transmission of ultrasound signals and that a second ultrasound transducer (7) is arranged for reception of ultrasound signals.
4. The device in accordance with any one of the claims 1-3, **characterized** in that the at least one ultrasound transducer (6, 7) is arranged for sequentially transmitting and receiving ultrasound signals with constant or varying time intervals.
5. The device in accordance with any one of the claims 1-3, **characterized** in that the at least one ultrasound transducer (6, 7) is arranged for continuously transmitting and receiving ultrasound signals.
6. The device in accordance with any one of the claims 1-5, **characterized** in that the at least one ultrasound transducer (6, 7) is arranged for transmitting and receiving ultrasound signals in one or more directions.
7. The device in accordance with any one of the claims 1-5,

characterized in that the at least one ultrasound transducer (6,7) is arranged for transmitting and receiving ultrasound signals in a continuous space of two or more dimensions.

8. The device in accordance with any one of the claims 1-7,

characterized in that the receiver transducer (7) is arranged for receiving ultrasound
35 signals that are transmitted from the transmitter transducer (6) via scattering/reflection by tissue structures.

9. The device in accordance with any one of the claims 1-7,

characterized in that the receiver transducer (7) is arranged for receiving ultrasound signals reflected by a number of ultrasound reflectors (8) that are implanted in the body part.

40 10. The device in accordance with any one of the claims 1-7,

characterized in that the receiver transducer (7) is arranged for receiving ultrasound signals that are transmitted from the transmitter transducer (6) via direct transmission through the tissue.

11. The device in accordance with any one of the claims 1-10,

45 **characterized** in that the at least one ultrasound transducer (6, 7) is arranged externally to and in good acoustic contact with the body part.

12. The device in accordance with any one of the claims 1-10,

characterized in that the at least one ultrasound transducer (6, 7) is implanted in the body part.

50 13. The device in accordance with any one of the preceding claims,

characterized in that the unit (9, 10) for signal processing and estimation is arranged to estimate the user's motor intention by cross correlation of a number of temporally separated ultrasound measurements or sets of measurements.

14. The device in accordance with any one of the preceding claims,

55 **characterized** in that the unit (9, 10) for signal processing and estimation is arranged to estimate the user's muscle contractions and motor intention by "optical flow" analysis of a number of temporally separated ultrasound measurements or sets of measurements.

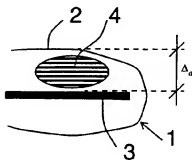
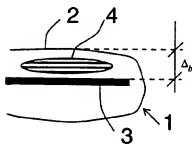
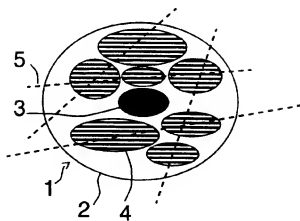
15. The device in accordance with any one of the preceding claims,

characterized in that the unit (9, 10) for signal processing and estimation is arranged to
60 combine ultrasound measurements with measurements of other observable physiological states of signals, such as myoelectric signals (EMG), nerve electric signals (ENG), or the user's interactions with mechanical, electric and/or electromechanical input devices.

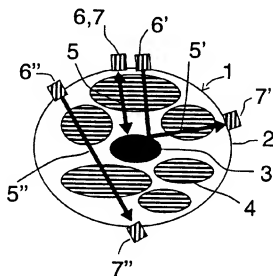
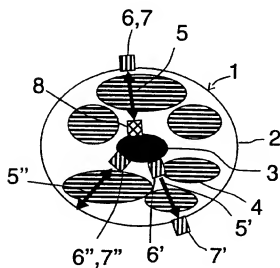
16. The device in accordance with any one of the preceding claims,

characterized in that the unit (9, 10) for signal processing and estimation is arranged to
65 estimate the user's muscle contractions and motor intention by use of a pattern recognition
technique, where the input signals to the pattern recognition unit are generated by use of one
or more of the techniques described in the preceding claims.

1/3

**Fig. 1a****Fig. 1b****Fig. 2**

2/3

**Fig. 3****Fig. 4**

3/3

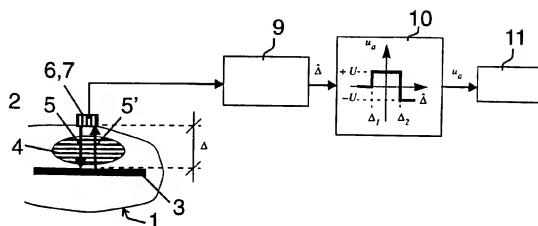


Fig. 5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 96/00246

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A61F 2/68 // A61F 4/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

QUESTEL 2

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4074367 A (J.H.LOVELESS), 21 February 1978 (21.02.78), column 3, line 39 - line 50, figure 1 --	1,13-16
A	US 4571750 A (D.T.BARRY), 25 February 1986 (25.02.86), column 5, line 20 - line 60, figure 1 --	1,13-16
A	US 4770662 A (V.C.GIAMPAPA), 13 Sept 1988 (13.09.88), column 3, line 3 - line 17, figures 1-3 -- -----	1,13-16

☐ Further documents are listed in the continuation of Box C.
 ☒ See patent family annex.

- * Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "B" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

26 February 1997

 Name and mailing address of the ISA/
 Swedish Patent Office
 Box 5055, S-102 42 STOCKHOLM
 Facsimile No. +46 8 666 02 86

Date of mailing of the international search report

27-02-1997

Authorized officer

 Leif Brander
 Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT
Information on patent family members

03/02/97

International application No.
PCT/NO 96/00246

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 4074367	21/02/78	NONE	
US-A- 4571750	25/02/86	US-A- 4748987	07/06/88
US-A- 4770662	13/09/88	NONE	